

"Express Mail" mailing label number EH862489413US

Date of Deposit: 1-16-2001

I hereby certify that this paper or fee is being deposited with the United States Postal Service
"Express Mail Post Office to Addressee" services under 37 C.F.R. 1.10 on the date indicated above
and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Typed Name of Person Mailing Paper or Fee: Terri Walker

Signature: 

PATENT APPLICATION
DOCKET NO. 10992693-1

**APPARATUS AND METHOD FOR UPDATING
APPLICATIONS TO EMBEDDED DEVICES AND PERIPHERALS
WITHIN A NETWORK ENVIRONMENT**

INVENTOR:

Loay Abu-Husein

0975882-011694

**APPARATUS AND METHOD FOR UPDATING
APPLICATIONS TO EMBEDDED DEVICES AND PERIPHERALS
WITHIN A NETWORK ENVIRONMENT**

FIELD OF THE INVENTION

This invention pertains to networked peripheral devices for computer systems. More particularly, this invention relates to extendable architecture applications that allow versioning, updating, and remote configuration of peripheral devices such as printers via a web application server.

BACKGROUND OF THE INVENTION

Until recently, the implementation of extendable architecture applications for a printer has usually required the building of specially designed read-only memory (ROM) dual in-line memory modules (DIMMs) which are installed into a printer. However, the design and installation is costly and complex, thereby reducing the availability of such extendable architecture applications to consumers.

More recently, the addition of a JAVA™ Virtual Machine (VM) and World Wide Web (WWW) accessibility to embedded devices such as hand-held devices and printers has enabled device developers to build JAVA™ applications to run extendable architecture programs. However, in order for these programs to persist after a power cycle or reboot, there is a need to permanently store them on the device. Additionally, there has also been a trend to reduce the cost and complexity of Internet appliances and peripheral devices. As a result, some embedded devices unfortunately lack the luxury of permanent storage due to cost or hardware limitations. Therefore, such devices cannot benefit from extendable architecture programs.

One recent solution has been provided for loading objects to a printer from a uniform resource locator (URL) over the World Wide Web (WWW) or some other Internet facility. The solution, referred to as "Chai Loader", performs the task of loading objects to the printer from a URL, and is provided

by "Chai", an integrated suite of software products, development tools and services for designing information appliances, available from Hewlett-Packard Company, of Palo Alto, California, assignee of the present invention. Chai Loader is designed to download a package in its entirety to the printer's Flash Non-Volatile memory. Chai Loader also has the ability to download the content of a package to a RAM disk. However, once the power is turned off, the package disappears and the download process must start all over again at the next power-up. Accordingly, in order to allow a simple embedded device to run powerful applications, improvements are needed to address the lack of permanent storage.

SUMMARY OF THE INVENTION

A computer peripheral program product and method are provided for loading applications to appliances, wherein the product has a package, or application, type that includes an application header and an application body. The application header is of sufficiently small size to be capable of being stored within a limited memory allocation on an appliance, such as on a limited amount of non-volatile random access memory (NVRAM).

According to one aspect, an appliance application loading system is provided for a network environment. The system includes a client, a web application server, an appliance, and a loading mechanism. The web application server communicates with the client within the network environment. The appliance is communicably attached with the web server within the network environment. The loading mechanism is provided on the network-based appliance and is operative to download an application to the appliance from the web application server upon the occurrence of a power on/off cycle.

According to another aspect, a computer peripheral program product is provided having a web application server, a network environment, a computer peripheral, and an application loader. The application loader is operative to load an extendable architecture application to the computer peripheral so as to

enable versioning, updating, and remote configuration of the computer peripheral via the web application server. The application loader associates an application header of the computer peripheral and an application body of the web application server.

According to yet another aspect, a method is provided for updating applications to embedded devices. The method includes: providing a network-based appliance communicably attached with a web application server, the appliance having a loading mechanism to download an application to the appliance from the server; querying the appliance with the web server to determine presence of an application; and updating the appliance with the application from the server upon the occurrence of a power on/off cycle.

DESCRIPTION OF THE DRAWINGS

Preferred embodiments of the invention are described below with reference to the following accompanying drawings depicting examples embodying the best mode for practicing the invention.

Fig. 1 is schematic block diagram of a computer program peripheral product comprising an appliance application loading system and provided in a network environment.

Fig. 2 is a further detailed schematic block diagram of a printer and server of Fig. 1.

Fig. 3 is a logic flow diagram illustrating the steps taken to implement one aspect of Applicant's invention.

DETAILED DESCRIPTION OF THE INVENTION

This disclosure of the invention is submitted in furtherance of the constitutional purposes of the U.S. Patent Laws "to promote the progress of science and useful arts". U.S. Constitution, Article 1, Section 8.

Reference will now be made to a preferred embodiment of Applicant's invention. One exemplary implementation is described below and is depicted with reference to the drawings comprising a system and method for loading

printer applications that form extendable architecture applications from the World Wide Web (WWW). While the invention is described via a preferred embodiment, it is understood that the description is not intended to limit the invention to this embodiment, but is intended to cover alternatives, equivalents, and modifications that are broader than the disclosed embodiment such as are included within the scope of the appended claims.

In an effort to prevent obscuring the invention at hand, only details germane to implementing the invention will be described in great detail, with presently understood peripheral details being incorporated by reference, as needed, as being presently understood in the art.

For purposes of this disclosure, the term "client machine" refers to any portable computing device that is plugged into a network such as a user workstation that attaches to a network. In one instance, a "client machine" is provided by a personal computer. In another instance, a "client machine" is provided within an intelligent computer peripheral such as a printer.

For purposes of this disclosure, the term "servlet" is understood to refer to a relatively small application that runs on an Internet or intranet web server or an embedded device web server. Preferably the application is programmed in "Chai", an embedded JAVA™ architecture from Hewlett-Packard Company, of Palo Alto, California. Optionally, it can be programmed in JAVA™.

Also for purposes of this disclosure, the term "Chai Loader" is understood to refer to an enhanced space-optimizing JAVA™ class loader provided in a "Chai" architecture and also available from Hewlett-Packard Company, of Palo Alto, California, that is provided in a Chai virtual machine, or JAVA™ machine, or application loading mechanism.

A preferred embodiment appliance application loading system in accordance with the present invention is first described with reference to Figures 1-4 and is designated generally with reference numeral 10. Appliance application loading system 10 is implemented within a network environment 12 comprising a plurality of devices capable of providing a client machine. Such devices include personal computer (PC) 22, and appliances 32. PC 22 and

appliances 32 communicate with a web application server 18 by way of a communication link 20. According to one implementation, communication link 20 comprises a transmission channel such as a wire transmission channel. Alternatively, communication link 20 comprises a wireless transmission channel.

According to one construction, network environment 12 comprises a network computer system and communication link 20 is provided by the Internet 21, and more particularly, the World Wide Web (WWW). Accordingly, network environment 12 comprises a client/server network having one or more clients, such as client 15, and a web application server 18.

As shown in Figure 1, PC 22 is provided in the form of a standard computer including a central processing unit (CPU) 24 and memory 26. According to one implementation, PC 22 provides a client machine. Memory 26 is operative to store computer programs such as an operating system 28 and a browser 30.

As shown in Figure 1, a pair of substantially identical appliances 32 each include a printer 38. Details of printer 38 are shown with reference to one of appliances 32. However, it is understood that the other appliance 32 is similarly constructed. According to one construction, appliance 32 comprises a computer peripheral 36 such as printer 38. In one form, printer 38 is an embedded device 16. Accordingly, a pair of printers 38 is provided within the network environment of Figure 1.

As shown in Figure 1, each printer 38 includes a central processing unit (CPU) 42 and memory 44. A JAVA™ virtual machine (VM) 46 is provided by appliance 32. Virtual machine 46 provides client machine 15, including a web client 51 and a loading mechanism 48. Virtual machine 46 includes web client 51 and loading mechanism 48 of client machine 15 to enable retrieval of data from web application server 18. In one form, memory 44 comprises a non-volatile storage device such as NVRAM 52. A package, or application, header 54 and a universal resource locator (URL) 56 are provided within NVRAM 52.

In one form, JAVA™ virtual machine (VM) 46 comprises a "Chai" VM, a virtual machine for running JAVA™ applications, embedded browsers or providing network connectivity, and available from Hewlett-Packard Company, of Palo Alto, California. Further details are available at <http://www.chai.hp.com>.

Appliances 32 provide a first printer and a second printer, respectively, present within network environment 12. Accordingly, it is understood that printers 38 are constructed similar to one another such that a pair of printers is provided within network environment 12.

Web application server 18 comprises a central processing unit (CPU) 58 and memory 60. Server 18 also includes a web site and one or more web pages (not shown). One or more application bodies 62 is retrievably stored within memory 60, including one or more applications 64 and appliance configuration settings 66.

As shown in Figure 2, printer 38 and web application server 18 are used to illustrate one construction for appliance application loading system 10 of Figure 1. Web application server 18 comprises one or more applications of application body 62 and an associated application list 68. Printer 38 comprises a communication client 70, dynamic loading mechanism (or application loader) 48, a RAM disk 72 and NVRAM 52. Communication client 70 and dynamic loading mechanism 48 cooperate to provide a virtual machine, such as a JAVA™ virtual machine which includes a web client 51 within communication client 70.

RAM disk 72 is used to store downloaded applications 64 (of Fig. 1) in the form of dynamic loaded application bodies 74 which are received from web application server 18. NVRAM 52 comprises a package, or application, header 54 and a universal resource locator (URL) 56 which gives the location of an application on web application server 18.

In an effort to reduce the amount of memory needed by printer 38, a new package or application type has been created that consists of two parts; namely, a package (or application) header 54, and a package (or application) body 62. RAM disk 72 contains a copy of downloaded application body 74

which is retrieved from application body 62 using dynamic loading mechanism 48 via communication client 70.

Application header 54 is sized sufficiently small to fit in a relatively small amount of NVRAM 52. Application header 54 contains essential information about the application and a corresponding Universal Resource Locator (URL) on application web server 18. Application 64, on the other hand, is then provided on application web server 18 at the corresponding URL 56 described above.

When printer 38 is booted up, dynamic loading mechanism 48 interrogates NVRAM 52, looking for loadable applications by reviewing application header 54 and URL 56. Upon finding application header 54 comprising a lightweight application or package header, printer 38 "surfs" to corresponding URL 56 via web application server 18 using web client 51 of virtual machine 46. In this manner, printer 38 obtains application body 62 and any associated applications 64 (see Fig. 1). The applications are then stored in a downloaded form within RAM disk 72 comprising application body 74. In this manner, application bodies 74, comprising one or more applications, are then run directly from RAM disk 72 by printer 38 in order to deliver such functionality.

In the event that printer 38 goes through a power cycle, application body 62 is loaded again in the manner described above.

According to one construction, application body 62 is implemented as a servlet 76 located on application web server 18. Servlet 76 comprises a program that runs on a web server. Such program executes when an individual or a machine (i.e., printer 38) surfs to a location designated by URL 56 on web application server 18. Parameters can be passed to servlet 76 as part of a URL string. Servlet 76 provides printer 38 with an off-the-printer permanent storage interface. Printer 38 can execute servlet 76 with parameters to download the printer's packages; download the specific printer's configuration settings; and/or change that printer's configuration on the application web server. Such a feature is a natural extension of having the ability to run servlet 76 on a host (in

this case, server 18) and the ability to access servlet 76 from printer 38. Every time a user changes the settings on printer 38, the dynamic loading mechanism 48 will surf to servlet 76 to update the configuration settings for printer 38.

Applicant's invention enables devices having minimum permanent storage and connection to the Internet the ability to run applications as if they were stored permanently on the device. Prior to this invention, there existed a need to add a flash memory device to the printer in order to provide such necessary permanent storage.

The permanent storage requirements for the present approach are minimized through implementation of the present invention. NVRAM 52 need only be sized sufficiently to provide enough room to store URL 56 for servlet 76 or application body 62 of web application server 18, as well as to provide for storage of application header 54 which describes sufficiently the specific application located at URL 56. However, printer 38 surfs to servlet 76 via web client 51 every time a change occurs to the operating state of printer 38 in order to save the new state of the printer (and its configuration) on web application server 18. This feature enables printer 38 to recover applications (or application bodies) as well as printer settings after the occurrence of a power cycle.

Web application server 18 provides a central location to store printer applications and configuration settings, thereby enabling plural printer configuration setups. For example, printers 38 of Figure 1 can be simultaneously set up with new printer configuration settings that are retrieved from web application server 18. Additionally, or alternatively, one of printers 38 can be set up to have appropriate user settings for a first user who utilizes such first printer 38 locally, and the other printer 38 can be set up with a second set of user settings and an application for a second user that uses the other printer 38 remotely at a second location. The resulting information is then transferred to servlet 76 on application web server 18 from each respective printer.

Accordingly, one or more printers can be pointed to the same configuration setup information comprising a dedicated servlet. In this manner,

when all the printers within a network environment subsequently go through a power cycle, the printers are automatically updated to have the same applications and configuration settings which are saved within a dedicated servlet 76 within web application server 18. Such printers can be delivered
5 identical servlets, or optionally can be delivered separate, dedicated servlets for each printer which encompass specific appropriate user settings for each dedicated printer.

Utilization of dynamic loading mechanism 48, in combination with application header 54 and URL 56, enables the versioning and updating of
10 applications in a relatively easy manner because content on web application server 18 can be modified without requiring that a user make such modification separately on each printer that is present within a network environment. Furthermore, code on web application server 18 can be updated with fixes to viruses or bugs, and to include new revisions, merely by updating servlet 76.
15 Corresponding download and update of such code is carried out automatically the next time the printer (or appliance) is power cycled.

In order to better understand the claimed aspects of Applicant's invention, one example is presented below for updating an appliance or printer with an application from a web application server utilizing the appliance
20 application loading system of Applicant's invention. More particularly, Figure 3 illustrates logic processing used to update an appliance with an application utilizing a loading mechanism.

In Step "S1", a network-based appliance having a loading mechanism is provided in communication with a web application server. In one form, the
25 appliance comprises a printer having printer configuration states. In another form, a plurality of appliances are communicably attached with a web application server, with each appliance having a dedicated loading mechanism. The web application server is configured to store appliance applications and configuration settings to enable plural appliance configuration setup to version
30 and update such appliances individually or as a group. After performing Step "S1", the process proceeds to Step "S2".

In Step "S2", the web application server queries the appliance to determine the presence of applications and/or appliance configuration settings such as printer settings and/or user settings. After performing Step "S2", the process proceeds to Step "S3".

In Step "S3", the loading mechanism cooperates with the web application server to update the appliance with one or more applications from the web application server upon occurrence of a power (on/off) cycle. According to one implementation, updating comprises configuring the printer with a printer application including a printer configuration state. According to one such implementation, the printer configuration state includes user settings. Also according to one implementation, the printer configuration state includes a servlet on the web application server that is transferred to the printer in response to a power cycle that automatically updates the applications and configuration settings for the appliance. After performing Step "S3", the process is either terminated, or after some delay, returns to Step "S1" and repeats.

In compliance with the statute, the invention has been described in language more or less specific as to structural and methodical features. It is to be understood, however, that the invention is not limited to the specific features shown and described, since the means herein disclosed comprise preferred forms of putting the invention into effect. The invention is, therefore, claimed in any of its forms or modifications within the proper scope of the appended claims appropriately interpreted in accordance with the doctrine of equivalents.